

國立交通大學碩士學位及博士學位授予作業規章  
National Chao Tung University  
Regulations for Master and Doctoral Degrees Conferment

96 學年度第 1 次教務會議修正通過(96.09.26)  
Amended at the first Council of Academic Affairs dated 26 September 2007  
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Letter Tai-Gao-(II)-Zhi No. 0970016242 filed for record  
99 學年度第 6 次教務會議修正通過(100.06.16)  
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100 學年度第 5 次教務會議修正通過(101.06.07)  
Amended at the fifth Council of Academic Affairs dated 7 June 2012  
106 學年度第 3 次教務會議修訂(107.03.07)  
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第一條 本規章依據大學法及其施行細則、學位授予法及其施行細則、其他有關規定訂定之。

1. These Regulations are provided in accordance with the University Act and the Enforcement Rules of the University Act, and the Degree Conferment Law and the Enforcement Rules of Degree Conferral Law, and other applicable regulations.

第二條 本校各教學單位，除法令另有規定者外，悉依本規章訂定研究生修業規章，循序經所屬學院課程委員會及校級課程委員會審查，再送教務會議核備後實施。研究生修業規章應包含下列項目：

- 一、入學資格：至少包含碩士班研究生逕行修讀博士學位資格、轉系所組規定。
- 二、修業年限：至少包含一般生及在職生最低及最高修業年限。
- 三、修課規定：至少包含應修課程、最低應修學分數、抵免學分規定，其中碩士生逕行修讀博士學位學生應修學分數，應說明是否包含逕行修讀博士學位之前已修習之學分。
- 四、訂定論文指導教授之敦請期限，並依本校「論文指導教授與研究生互動準則」訂定論文指導之更換協調等相關規定。未訂定敦請期限及相關事項者，由教學單位召開會議議決。
- 五、博士學位候選人資格考核規定。
- 六、學位考試之條件與程序。

2. All teaching institutes of the University must draw out postgraduate study regulations fully based on these Regulations unless otherwise required by the laws. The college curricular committee and university curricular committee in proper order must review these postgraduate study regulations. Prior to implementation, these regulations must be submitted to the council of Academic Affairs for approval. The postgraduate study regulations must include the following rules.

- (1) Admission requirements: These requirements must at least include status change requirements for master's students, i.e. changing from the master program to the PhD program; and requirements for students applying for transferring from one department/graduate institute to another.
- (2) Period of study: The period of study must at least include the minimum and maximum periods of study for full-time and part-time students.
- (3) Program requirements: Program requirements must at least include all required courses, minimum graduation credits and credit exemption regulations, including whether credits previously accumulated by master students who are now directly admitted to the PhD program can be considered valid for the minimum required credits of the doctoral program.
- (4) Set the supervisory timetable of the thesis advisor, and the rules of changing and coordinating the appointment of the thesis advisor based on the University's "Guidelines for the Interaction between Thesis Advisor and Graduate Students"  
In absence of the supervisory timetable and relevant rules, the teaching institute shall determine the same by calling a meeting.
- (5) PhD candidate qualifying examination (comprehensive test) requirements.
- (6) Oral defense requirements and procedures.

第三條 本校碩士班之修業期限以一至四年為限，博士班之修業期限以二至七年為限，在職研究生之修業年限得增加一年，各教學單位並得依其特性需要，提高最低修業年限。

3. The minimum and maximum periods of study for master and doctoral programs of the NCTU must be one to four years and two to seven years, respectively. Part-time students may extend the periods of study for one more year. In consideration of the characteristics and requirements of different disciplines, each teaching institute may, if applicable, extend the minimum periods of study.

第四條 本校各碩士班研究生，符合下列規定者，提出論文，得申請碩士學位考試，經碩士學位考試委員會考試通過者，由本校授予碩士學位：

- 一、完成各教學單位規定之應修課程、學分數及碩士學位所須通過之其他考核。
- 二、當學期結束才可完成應修課程、學分數及其他考核規定者，若提出論文，經指導教授推薦，得提早舉行碩士學位考試，俟課程完成並獲得應修學分數後授予碩士學位。未能於該學期完成應修課程者，學位考試成績不予採認。
- 三、108 學年度起舉行學位考試之學生應完成「論文原創性比對」，供學位考試委員參考。

4. A master student of NCTU fulfilling the following requirements can apply for an oral defense. After being approved by members of the oral defense committee, NCTU must confer a master degree upon the student:
  - (1) Complete the required courses, credits, and other evaluations that have to be satisfied for the master degree as specified by each teaching institute.

- (2) However, a student who has submitted her/his thesis and who is recommended by the supervisor may apply for an early oral defense even if she/he will not complete the required courses, credit hours, and other evaluations until the end of the semester. The master degree will be conferred after the courses are completed and the required credits are earned by the student. If any required course is not completed during that semester, the oral defense even will not be recognized.
- (3) As of Academic Year 2019, any student who conducts the oral defense shall first complete the “thesis originality check” and submit the result to the members of the oral defense committee.

第五條 學位考試含論文考試及論文審查。

5. The oral defense includes thesis examinations and thesis review.

第六條 本校各碩士班研究生之碩士學位考試委員會置委員三至五人，由該教學單位就校內外學者專家中對該研究生之研究領域有專門研究，並具備下列資格之一者，向校長推薦，由校長遴聘組成之。

一、現任或曾任教授、副教授、助理教授。

二、中央研究院院士、現任或曾任中央研究院研究員、副研究員、助研究員。

三、獲有博士學位，且在學術上著有成就。

四、研究領域屬於稀少性、特殊性學科或屬專業實務，且在學術上或專業上著有成就。

前項第三款、第四款資格之認定基準，由辦理學位授予之各教學單位訂定之。

碩士班研究生之配偶或三親等內之血親、姻親，不得擔任其碩士學位考試委員。

6. The Examination Committee of each master's candidate must consist of three to five members recommended to the president by the teaching institute from among the scholars and experts inside and outside of NCTU specializing in the area of research or study of the applicant. These members must fulfill at least one of the following requirements. The organization of the committee shall be subject to the president's decision.

(1) Is or was a professor, associate professor, or assistant professor.

(2) Is a research fellow, or is or was a researcher, associate researcher, or assistant researcher of Academia Sinica.

(3) Holds a doctoral degree, and has outstanding academic achievements.

(4) Has been researching in a rare, specific, or practical field, and has outstanding academic or professional achievements.

The determination criteria of the qualifications in subsections (3) and (4) of the foregoing paragraph shall be prescribed by each degree-offering institute or program.

No committee member can be the spouse, blood relative or in-law within three degrees of consanguinity of the master's candidate.

第七條 本校各博士班研究生，符合下列規定者，得為博士學位候選人。

- 一、完成博士學位應修課程與學分數。
- 二、通過博士學位候選人資格考核及獲得各教學單位規定之博士學位所須通過之其他考核規定。
- 三、108 學年度起舉行學位考試之學生應完成「論文原創性比對」，供學位考試委員參考。

博士學位候選人提出論文，得申請博士學位考試，經博士學位考試委員會考試通過者，由本校授予博士學位。

7. A PhD student of NCTU fulfilling the following requirements can be a PhD candidate.
  - (1) A PhD student who has completed all required courses and accumulated the minimum graduation credits.
  - (2) A PhD student who has passed the PhD candidate qualifying examination (COM) and other evaluations that have to be satisfied for the PhD as specified by each teaching institute.
  - (3) As of Academic Year 2019, any student who conducts the oral defense shall first complete the “thesis originality check” and submit the result to the members of the oral defense committee.

A PhD candidate may apply for an oral defense after submitting the dissertation. After being approved by the PhD oral defense committee, NCTU must confer the doctoral degree upon her/him.

第八條 本校各博士班研究生之博士學位考試委員會置委員五至九人，由該教學單位就校內外學者專家中對該博士學位候選人之研究領域有專門研究，並具備下列資格之一者，向校長推薦，由校長遴聘組成之，校內外委員均各須佔三分之一(含)以上。

- 一、現任或曾任教授、副教授。
  - 二、中央研究院院士、現任或曾任中央研究院研究員、副研究員。
  - 三、獲有博士學位，且在學術上著有成就。
  - 四、研究領域屬於稀少性或特殊性學科，且在學術或專業上著有成就。
- 前項第三款至第四款資格之認定基準，由辦理學位授予之各教學單位訂定之。
- 博士班研究生之配偶或三等親內之血親、姻親，不得擔任其博士班學位考試委員。

8. The Examination Committee of each PhD candidate must consist of five to nine members recommended to the president by the teaching institute from among the scholars and experts inside and outside of NCTU specializing in the area of research or study of the applicant. These members must fulfill at least one of the following requirements. The organization of the committee shall be subject to the president’s decision. The number of members from inside and outside of NCTU must be no less than a third of the total number of members.
  - (1) Is or was a professor or associate professor.
  - (2) Is a research fellow, or is or was a researcher or associate researcher of Academia Sinica.
  - (3) Holds a doctoral degree, and has outstanding academic achievements.
  - (4) Has been researching in a rare, or specific field, and has outstanding academic or professional achievements.

The determination criteria of the qualifications in subsections (3) and (4) of the foregoing paragraph shall be prescribed by each degree-offering institute or program.

No committee member can be the spouse, blood relative or in-law within three degrees of consanguinity of the PhD candidate.

第九條 本校碩士學位及博士學位候選人之論文考試，以口試行之，必要時亦得舉行筆試，並應依下列規定辦理：

- 一、口試以公開舉行為原則，須於事前公佈口試時間、地點及論文題目。
- 二、學位考試委員應親自出席委員會，不得委託他人為代表，碩士學位考試委員會至少應有委員三人出席，博士學位考試委員會至少應有委員五人出席，始得舉行。
- 三、情形特殊，得專案簽請教務長核准後採視訊方式辦理，惟仍應符合公平公正公開之原則，並全程錄音錄影存檔留存於各該教學單位，相關檔案經教學單位主管同意方得調閱。
- 四、學位考試委員會，由校長指定委員一人為召集人，但指導教授不得兼任召集人。
- 五、論文考試成績，以七十分為及格，一百分為滿分，評定以一次為限，並以出席委員評定分數平均決定之，但碩士學位考試有二分之一以上出席委員，博士學位考試有三分之一以上出席委員評定不及格者，學位考試成績以不及格論，不予平均。
- 六、論文有造假、變造、抄襲、由他人代寫或其他舞弊情事，經學位考試委員會審查確定者，以不及格論。
- 七、各教學單位研究生修業規章中列明之其他規定。

9. All master and PhD degree defense must be conducted orally. Written test may be organized when it is deemed necessary. All oral defenses must comply with the following rules.
  - (1) All oral defenses must be conducted openly. The time, place and title of thesis (dissertation) must be announced before the oral defense.
  - (2) All members of an oral defense committee must attend the oral defense in person. No replacement allowed. An oral defense must only be organized when no less than three and five committee members attend the oral defense for the master degree and doctoral degree, respectively.
  - (3) For particular case, the process may be conducted by means of video conference upon the approval of the Dean of Academic Affairs on an ad hoc basis, provided that the rules of fairness, impartiality, and openness shall be observed, and the process is videotaped and kept by each such teaching institute. Access to relevant files can be granted only upon the consent of the supervisor of the teaching institute.
  - (4) President of NCTU must appoint a committee chairperson from among the committee members. The adviser of candidate cannot be the chairperson.
  - (5) The passing grade and full marks of the oral defense are 70 and 100, respectively. Evaluation must be conducted once according to the average of scores given by the

members attending the oral defense. Nonetheless, a master candidate is deemed failed, and no average score should be calculated, when the scores given by half or more members attending the oral defense are below the passing grade. Likewise, a doctoral candidate is deemed failed and no average score calculated when the score given by a third or more members attending the oral defense are below the passing grade.

- (6) An applicant will be deemed failed if the degree examination committee has found any fraud, alternation, plagiarism, ghostwriting, or other dishonest conducts regarding the applicant's thesis.
- (7) The teaching institute must specify other related requirements in the program requirements.

第十條 論文通過口試者由口試委員明示論文修改方向及要點，做為學生修改論文之依據，學生修改論文後應提交論文審查，論文審查至少須經三分之二考試委員同意始為通過。

論文審查不另評分，論文審查通過者，由出席論文考試之委員簽署「論文口試委員會審定書」。完成論文審定者，論文考試成績即為學位考試成績。

10. A student who passes the oral defense shall be instructed in the direction and points of his/her thesis alternation by the members of an oral defense committee as the basis to alter his/her thesis. After altering his/her thesis, the student shall submit a thesis review; the thesis review shall be approved by at least two-thirds of the members of the oral defense committee. The thesis review shall not further to be graded; a student who passes the thesis review and the present members of the oral defense committee shall sign the "Thesis Review Letter Issued by the Oral Defense Committee". A student who completes the thesis review shall have his/her achievement of the thesis examination be his/her achievement of the oral defense.

第十一條 於1月31日或7月31日前通過論文考試，未能於次學期二週內完成論文審查繳交「論文口試委員會審定書」者，則次學期仍應註冊。至修業年限屆滿時仍未繳交者，該學位考試成績以不及格論，並依規定退學。

11. A student who passes the oral defense before January 31 or July 31 but fails to complete the thesis examination nor submit the "Thesis Review Letter" issued by the Oral Defense Committee within two weeks in next semester still needs to registration in the next semester. In case of a student failing to submit the "Thesis Review Letter Issued by the Oral Defense Committee" within the maximum period of study, the achievement of the thesis examination shall be deemed failed and he/she must drop out of NCTU.

第十二條 通過論文考試及完成論文審查者，除修讀教育學程學生經師資培育中心證明需繼續在學修讀教育學程外，各教學單位應於一週內，將論文考試成績及「論文口試委員會審定書」影本一併送交教務處註冊組登錄。畢業學期為繳交論文考試成績及「論文口試委員會審定書」之在學學期。已完成論文考試成績及「論文口試委員會審定書」繳交，跨越新學期仍未辦理

離校程序者，其學籍依已畢業處理。

12. A student who passes the oral defense and completes the thesis review, except those students whose study education program needs to study education courses continuously and stay at a school approved by the Center for Teacher Education, each teaching institute shall submit the copies of achievements of the oral defense and "Thesis Review Letter Issued by Oral Defense Committee" to the Office of Academic Affairs for registration within one week.

The graduation semester shall be the semester staying at school when the achievements of the oral defense and "Thesis Review Letter Issued by Oral Defense Committee" to the Office of Academic Affairs are submitted.

A student who has submitted an achievement of the oral defense and "Thesis Review Letter Issued by Oral Defense Committee" to the Office of Academic Affairs but is still not in the process of leaving process of leaving NCTU across the new semester, his/her student status shall be deemed as graduated.

第十三條 凡逕行修讀博士學位之研究生，通過博士學位候選人資格考核，但未通過博士學位考試，其博士學位論文經博士學位考試委員會決定合於碩士學位標準者，得授予碩士學位。

13. NCTU will confer the master degree upon a master student who is admitted directly to the doctoral program and passed the PhD qualifying examination (COM) after s/he has submitted the dissertation approved by the oral defense committee for a master degree even if s/he fails to pass the PhD oral defense.

第十四條 本校各級學位之名稱，由本校訂定，報請教育部核備後實施，修訂時亦同。

14. The titles of degrees of all departments (graduate institutes and degree programs) and changes thereof must be determined by NCTU and implemented after being approved by the Ministry of Education.

第十五條 本校碩、博士班研究生在規定修業期限內未能通過學位考試或未能完成應修課程者，應令退學。

15. A postgraduate student who fails to pass the oral defense or complete all required courses within the maximum period of study must drop out of NCTU.

第十六條 博士、碩士學位論文(含摘要)以中文撰寫為原則，並須符合「國立交通大學學位論文格式規範」。學位考試通過後一個月內應將論文摘要及全文電子檔上網建檔(依照「國立交通大學圖書館學位論文摘要及全文電子檔建檔規範」辦理)，並繳交論文二冊(一冊本校圖書館陳列，一冊由教務處彙轉教育部指定之度藏單位收藏)，各教學單位之收藏冊數自訂之。

16. Theses and dissertations (and their abstracts) must be written preliminary in Chinese and comply with the "NCTU Thesis and Dissertation Formats". A student is required to post an electronic version of the abstract and thesis/dissertation on the university website within one

month from passing the oral defense. (See “NCTU Library Abstract, Thesis and Dissertation Electronization Specification” for details.) A student must also submit two copies of her/his thesis/dissertation (one displayed in the library and one submitted to the Office of Academic Affairs for collection by other units as specified by the MOE). Each teaching institute must determine the number of copies required.

第十七條 本校對已授予之碩士及博士學位，有下列情事之一者，將予撤銷，並公告註銷已頒給之學位證書。

一、入學資格或修業情形有不實或舞弊情事。

二、論文有造假、變造、抄襲、由他人代寫或其他舞弊情事。

依前項規定撤銷學位後，將通知當事人繳還該學位證書，並將撤銷及註銷事項，通知其他專科學校、大學及相關機關。

17. NCTU will cancel the master’s and/or doctoral degree that has been conferred to a student, and announce the cancellation of the conferred diploma certificate in any of the following situations:

(1) Any admission qualification fraud, or any misrepresentation or dishonesty during the study period.

(2) Any fraud, alternation, plagiarism, ghostwriting, or other dishonest conducts regarding the thesis.

After the degree has been cancelled pursuant to the foregoing paragraph, NCTU will notify the student to return his or her diploma certificate(s), and notify other colleges, universities, and relevant institutions about the cancellation.

第十八條 本校名譽博士學位授予由本校名譽博士學位及傑出校友審查委員會審查通過後頒授，相關規定依據學位授予法列明於本校名譽博士學位及傑出校友審查委員會設置辦法中。

18. An honorary doctoral degree is conferred upon the consideration and resolution of the NCTU Honorary Doctoral Degree Recommendation and Outstanding Alumni Award Review Committee. Relevant procedures shall be specified in the memorandum of organization of said Committee in accordance with the Degree Conferment Law.

第十九條 本規章未盡事宜，悉依大學法及其施行細則、學位授予法及其施行細則、及有關教育法令辦理之。

19. Matters not provided herein must be subject to the University Law and Code of Practice for University Law, the Degree Conferment Law and Code of Practice for Degree Conferment Law, and other education-related laws and regulations.

第二十條 本規章經教務會議通過後公告施行，並報教育部備查，修正時亦同。

20. These Regulations and any amendment thereof shall be implemented after being approved by the Council of Academic Affairs, and filed with the Ministry of Education.



本法規有中英文兩個版本，在有疑義的情況下以中文版為準。

These Regulations are adopted in Chinese, which shall prevail in case of any discrepancy between the English translation and the Chinese original.